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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/532,663	12/05/2005	Robert Fuchs	0552-1016	8954
466	7590	11/19/2007		
YOUNG & THOMPSON 745 SOUTH 23RD STREET 2ND FLOOR ARLINGTON, VA 22202			EXAMINER HIBBERT, CATHERINE S	
			ART UNIT 1636	PAPER NUMBER
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	Application No. 10/532,663	Applicant(s) FUCHS ET AL.	
	Examiner Catherine S. Hibbert	Art Unit 1636	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 27 April 2005.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 27 April 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date <u>4/27/2005</u> . | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

This is the First Action on the Merits of US Application 10/532,663, filed 5 December 2005, which is a 371 of PCT/FR03188, filed 27 October 2003, which claims Foreign Priority to FR 0213474, filed 28 October 2002. Claims 1-24 are pending and under consideration in this action.

#### ***Claim Rejections - 35 USC § 112***

Claims 1-24 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 1-3 provide for "the use of a mutagenic agent blocking the DNA replication in the cell for in vitro inserting a nucleic acid of interest with a predetermined nucleotide sequence present in the cell", but, since the claim does not set forth any steps involved in the method/process, it is unclear what method/process applicant is intending to encompass. A claim is indefinite where it merely recites a use without any active, positive steps delimiting how this use is actually practiced.

Claims 1-3 are rejected under 35 U.S.C. 101 because the claimed recitation of a use, without setting forth any steps involved in the process, results in an improper definition of a process, i.e., results in a claim which is not a proper process claim under 35 U.S.C. 101. See for example *Ex parte Dunki*, 153 USPQ 678 (Bd.App. 1967) and *Clinical Products, Ltd. v. Brenner*, 255 F. Supp. 131, 149 USPQ 475 (D.D.C. 1966).

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Regarding claim 4, the phrase "such as " renders the claims indefinite because it is unclear whether the limitations following the phrase are part of the claimed invention. See MPEP § 2173.05(d).

The term "high identity degree" in claim 10 is a relative term which renders the claim indefinite. The term "high identity degree" is not defined by the claim, the specification does not provide a standard for ascertaining the requisite degree, and one of ordinary skill in the art would not be reasonably apprised of the scope of the invention. Although Applicants recite that "a high identity degree" is preferably "higher than 99.5%", (instant specification, p. 12, lines 21-22), the metes and bounds of the broadest reasonable interpretation of "high identity" cannot be determined.

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 4-6, 10-14, 16-18 and 21-22, rejected under 35 U.S.C. 102(b) as being anticipated by Hinds et al in "Enhanced gene replacement in mycobacteria" [Microbiology, 1999, Vol. 145: p. 519-527, entire document, (made of record in the IDS)].

Hinds et al teach the UV-irradiation of bacterial plasmid vector DNA in order to enhance subsequent homologous recombination in the mycobacteria. Hinds et al teach the inactivation of *M. smegmatis* genes and the use of a recombination assay to identify conditions (UV irradiation) in which homologous recombination is enhanced. Hinds et al teach the use of several different "suicide vectors" (p. 520, Table 1) and the use of reporter genes contained within and without the sequence of DNA intended targeted chromosomal insertion (see especially p. 522, Fig.1 and Fig. legend 1). Hinds et al teach the application of this method to gene replacement experiments in *M. smegmatis*, *M. intracellulare*, and *M. tuberculosis* (abstract). In addition, Hinds et al teach the use of single stranded phagemid DNA using the pSYCHOP construct (p. 524, ¶3, lines 1-3).

Therefore, Hinds et al anticipates all the limitations of claims 4-6, 10-14, 16-18 and 21-22.

Claims 4-5, 10-14, 16-19, 21 and 23 are rejected under 35 U.S.C. 102(b) as being anticipated by Ganiatsas et al in "SEK1 deficiency reveals mitogen-activated protein kinase cascade crossregulation and leads to abnormal hepatogenesis" (Proc. Natl. Acad. Sci. USA Vol. 95, pp. 6881-6886, June 1998, see whole document).

Ganiatsas et al teach the use of the mutagenic agent (UV irradiation) in studies of homologous recombination using vectors containing reporter genes. Ganiatsas et al recite that "W9.5 ES cells were used for homologous recombination" and that "the targeting vector was produced by insertion of a 6-kb *Bgl*II fragment into the *Bam*HI site of pGKneo/TK followed by insertion of a 1-kb *Eco*RI fragment into the *Pme*I site of the resulting vector". Ganiatsas et al further teach that the "Initial selection of targeted ES cells was carried out first in 175 mg/ml G418" (see whole document and especially Materials and Methods section lines 1-9). Therefore, Ganiatsas et al anticipates the limitations of claims 4-5, 10-14, 16-19, 21, and 23.

Claims 4-21 and 23-24 are rejected under 35 U.S.C. 102(e) as being anticipated by Hoeijmakers et al in "Detection Methods Based on HR23 Protein Binding Molecules (US PGPub No:2003/0124605, filed 20 November 2002, which claims priority to Provisional Application No:60/331.773, filed 21 November 2001, see entire document).

Hoeijmakers et al teach a method of targeted homologous recombination using vectors comprising identical 5'- and 3'- sequences respective to the target DNA contained in the chromosome (see especially Figure 1). Hoeijmakers et al teach the use of the mutagenic agents such as UV irradiation and 50 and 100uM concentrations of N-acetoxy-2-acetylaminofluorene (NS-AAF) () and wherein the nucleic acid of interest encodes a protein of therapeutic interest, wherein an open reading frame is disrupted by a heterologous nucleotide sequence, and which codes an antisense RNA. For example, Hoeijmakers et al recite:

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An Ola129 mHR23A targeting construct was generated by converting the BglII site in exon II of clone pG7M23Ag1 (containing a 4 kb genomic EcoRI fragment subcloned in pGEM7) into a ClaI site, which (due to a ClaI site in the polylinker) allowed deletion of sequences downstream of the BglII site in exon II (clone pG7M23Ag7). Next, the remaining EcoRI site was removed by filling-in the overhangs with Klenow, resulting in clone pG7M23Ag9. After changing the BstXI site into a Sall site, the 3 kb XhoI-Sall fragment was cloned into Sall digested pGEM5, resulting in clone pG5M23Ag17. Next, the 3' arm of the construct, consisting of a Klenow-blunted 1.5 kb SmaI-XbaI fragment starting at the SmaI site in exon VII, was inserted in the blunted NdeI site of pG5M23Ag17 (giving pG5M23Ag20), followed by insertion of a Neo marker cassette in antisense orientation in the ClaI site (giving pG5M23Ag24). Finally, the NotI-NsiI insert of pG5M23Ag24 was recloned into a pGEM-9Zf(-) based vector containing a 2.8 kb thymidine kinase (TK) marker cassette (giving pG5M23Ag30).

In addition, Hoeijmakers et al teach that "cells stably expressing hXPC-GFP/hHR23B were rinsed with PBS, exposed to UV-C light (254 nm; Philips TUV lamp, dose as indicated in the text) and subsequently cultured at 37.degree. C. for various time periods (as indicated in the text). XPC was detected either by immunoblot analysis or by visualization in living cells using fluorescence microscopy. A similar approach was used to study the effect of N-acetoxy-2-acetylaminofluorene (NA-AAF, final concentration 50 or 100  $\mu$ M)"(p.10, ¶ 132), and further teaches mouse and human (HeLa) cells (p.11, ¶ 136 and 141). Therefore, Hoeijmakers et al anticipates the limitations of claims 4-21 and 23-24.

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**Conclusion**

No claims allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Catherine S. Hibbert whose telephone number is 571-270-3053. The examiner can normally be reached on Monday-Friday, 7:30 AM-5:00 PM, ALT. Friday, EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph Woitach can be reached on 571-272-0739. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Respectfully submitted,

Catherine S. Hibbert/AU1636

  
DAVID GUZO  
PRIMARY EXAMINER